

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/563,728	07/21/2006	Bertram Jacobs	01231.0023U2	1957
23859 NEEDLE & R	7590 07/06/2007 OSENBERG, P.C.	•	EXAM	INER
SUITE 1000			WHITEMAN	, BRIAN A
999 PEACHTF ATLANTA, G			ART UNIT	PAPER NUMBER
•	•	·	1635	
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	. •		MAIL DATE	DELIVERY MODE
			07/06/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)					
065 4-45 0	10/563,728	JACOBS ET AL.					
Office Action Summary	Examiner	Art Unit					
	Brian Whiteman	1635					
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply							
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (8) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Fallure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	TE OF THIS COMMUNI (6(a). In no event, however, may a (1) apply and will expire SIX (6) MOI cause the application to become A	CATION. reply be timely filed  NTHS from the mailing date of this communication. BANDONED (35 U.S.C. § 133).					
Status							
1) Responsive to communication(s) filed on 02 Ma	a <u>y 2007</u> .						
2a) This action is FINAL. 2b) ☑ This	action is non-final.						
<ol><li>Since this application is in condition for allowant</li></ol>	ce except for formal mat	ters, prosecution as to the merits is					
closed in accordance with the practice under E	x parte Quayle, 1935 C.[	D. 11, 453 O.G. 213.					
Disposition of Claims							
4) Claim(s) 1-10 is/are pending in the application.							
4a) Of the above claim(s) is/are withdraw	n from consideration.						
5) Claim(s) is/are allowed.							
6)⊠ Claim(s) <u>1-10</u> is/are rejected.	· !						
7) Claim(s) is/are objected to.							
8) Claim(s) are subject to restriction and/or	election requirement.						
Application Papers							
9) The specification is objected to by the Examiner							
10)⊠ The drawing(s) filed on <u>06 January 2006</u> is/are:	a)⊠ accepted or b)□ o	objected to by the Examiner.					
Applicant may not request that any objection to the o							
Replacement drawing sheet(s) including the correcti	on is required if the drawing	(s) is objected to. See 37 CFR 1.121(d).					
11) The oath or declaration is objected to by the Ex	aminer. Note the attache	d Office Action or form PTO-152.					
Priority under 35 U.S.C. § 119	•						
12) ☐ Acknowledgment is made of a claim for foreign	priority under 35 U.S.C.	§ 119(a)-(d) or (f).					
a) All b) Some * c) None of:	,	. , , , , ,					
1. Certified copies of the priority documents	have been received.						
2. Certified copies of the priority documents	have been received in A	Application No					
3. Copies of the certified copies of the prior	•	received in this National Stage					
application from the International Bureau	• • • • • • • • • • • • • • • • • • • •						
* See the attached detailed Office action for a list of	of the certified copies not	received.					
AMachananta	٠,						
Attachment(s)  1) Notice of References Cited (PTO-892)	4\ T Intendess	Summary (PTO-413)					
2) Notice of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(	s)/Mail Date					
3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mall Date 9/8/06, 1/6/06.	5)	nformat Patent Application					

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#### DETAILED ACTION

Claims 1-10 are pending.

#### Election/Restrictions

Applicant's election of Group I (claims 1-10) and species E3L in claim 4 in the reply filed on 5/2/07 is acknowledged. Because applicant did not distinctly and specifically point out the supposed errors in the restriction requirement, the election has been treated as an election without traverse (MPEP § 818.03(a)).

KSL and combination thereof in claim 4 are withdrawn from further consideration pursuant to 37 CFR 1.142(b) as being drawn to a nonelected species, there being no allowable generic or linking claim. Election was made without traverse in the reply filed on 5/2/07.

#### Priority

If applicant desires to claim the benefit of a prior-filed application under 35 U.S.C.120, a specific reference to the prior-filed application in compliance with 37 CFR 1.78(a) must be included in the first sentence(s) of the specification following the title or in an application data sheet. For benefit claims under 35 U.S.C. 120, 121 or 365(c), the reference must include the relationship (i.e., continuation, divisional, or continuation-in-part) of the applications.

If the instant application is a utility or plant application filed under 35 U.S.C. 111(a) on or after November 29, 2000, the specific reference must be submitted during the pendency of the application and within the later of four months from the actual filing date of the application or sixteen months from the filing date of the prior application. If the application is a utility or plant

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application which entered the national stage from an international application filed on or after November 29, 2000, after compliance with 35 U.S.C. 371, the specific reference must be submitted during the pendency of the application and within the later of four months from the date on which the national stage commenced under 35 U.S.C. 371(b) or (f) or sixteen months from the filing date of the prior application. See 37 CFR 1.78(a)(2)(ii) and (a)(5)(ii). This time period is not extendable and a failure to submit the reference required by 35 U.S.C. 119(e) and/or 120, where applicable, within this time period is considered a waiver of any benefit of such prior application(s) under 35 U.S.C. 119(e), 120, 121 and 365(c). A benefit claim filed after the required time period may be accepted if it is accompanied by a grantable petition to accept an unintentionally delayed benefit claim under 35 U.S.C. 119(e), 120, 121 and 365(c). The petition must be accompanied by (1) the reference required by 35 U.S.C. 120 or 119(e) and 37 CFR 1.78(a)(2) or (a)(5) to the prior application (unless previously submitted), (2) a surcharge under 37 CFR 1.17(t), and (3) a statement that the entire delay between the date the claim was due under 37 CFR 1.78(a)(2) or (a)(5) and the date the claim was filed was unintentional. The Director may require additional information where there is a question whether the delay was unintentional. The petition should be addressed to: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If the reference to the prior application was previously submitted within the time period set forth in 37 CFR 1.78(a), but not in the first sentence(s) of the specification or an application data sheet (ADS) as required by 37 CFR 1.78(a) (e.g., if the reference was submitted in an oath or declaration or the application transmittal letter), and the information concerning the benefit claim was recognized by the Office as shown by its inclusion on the first filing receipt, the

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petition under 37 CFR 1.78(a) and the surcharge under 37 CFR 1.17(t) are not required.

Applicant is still required to submit the reference in compliance with 37 CFR 1.78(a) by filing an amendment to the first sentence(s) of the specification or an ADS. See MPEP § 201.11.

### Information Disclosure Statement

The examiner has considered the international search report.

The references cited in the Search Report 1/6/06 have been considered, and will be listed on any patent resulting from this application because they were provided on a separate list in compliance with 37 CFR 1.98(a)(1).

#### Specification

The abstract of the disclosure does not commence on a separate sheet in accordance with 37 CFR 1.52(b)(4). A new abstract of the disclosure is required and must be presented on a separate sheet, apart from any other text.

#### Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

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Claims 1 and 3-10 are rejected under 35 U.S.C. 102(e) as being anticipated by Roberts et al. (A2, cited on an IDS). Roberts et al. teach a method of treating neoplasm in a mammal using a vaccinia virus having a mutation in the E3L gene (pages 10 and 26). Roberts et al. teach the neoplasm can be breast or prostate (page 11). The skilled artisan can administer the virus using intravenous administration (page 11). With respect to the limitation "reduced ability to inhibit PKR and increased sensitivity to interferon" in instant claim 8 the vaccinia virus taught in the prior art reads on the product recited in claim 8. Thus, a product with the same structural as another product should have the same properties as that product.

A REFERENCE TEACHING PRODUCT APPEARING TO BE SUBSTANTIALLY IDENTICAL IS MADE THE BASIS OF A REJECTION, AND THE EXAMINER PRESENTS EVIDENCE OR REASONING TENDING TO SHOW INHERENCY, THE BURDEN SHIFTS TO THE APPLICANT TO SHOW AN UNOBVIOUS DIFFERENCE

"|T|he PTO can require an applicant to prove that the prior art products do not necessarily or inherently possess the characteristics of his [or her] claimed product. Whether the rejection is based on inherency' under 35 U.S.C. 102, on prima facie obviousness' under 35 U.S.C. 103, jointly or alternatively, the burden of proof is the same...[footnote omitted]." The burden of proof is similar to that required with respect to product-by-process claims. In re Fitzgerald, 619 F.2d 67, 70, 205 USPQ 594, 596 (CCPA 1980) (quoting In re Best, 562 F.2d 1252, 1255, 195 USPQ 430, 433-34 (CCPA 1977)).

#### MPEP 2112.01:

PRODUCT AND APPARATUS CLAIMS  $\square$  WHEN THE STRUCTURE RECITED IN THE REFERENCE IS SUBSTANTIALLY IDENTICAL TOTHAT OF THE CLAIMS, CLAIMED PROPERTIES OR FUNCTIONS ARE PRESUMED TO BE INHERENT

Where the claimed and prior art products are identical or substantially identical in structure or composition, or are produced by identical or substantially identical processes, a prima facie case of either anticipation or obviousness has been established. In re Best, 562 F.2d 1252, 1255, 195 USPQ 430, 433 (CCPA 1977). When the PTO shows a sound basis for believing that the products of the applicant and the prior art are the same, the applicant has the burden of showing that they are not. In re Spada, 911 F.2d 705, 709, 15 USPQ2d 1655, 1658 (Fed. Cir. 1990). Therefore, the prima facie case can be rebutted by evidence showing that the prior art products do not necessarily possess the characteristics of the claimed product. In re Best, 562 F.2d at 1255, 195 USPQ at 433.

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Claims 1 and 4-8 are rejected under 35 U.S.C. 102(b) as being anticipated by Lee et al. (Virology 199, 491-496, 1994). Lee et al. teach apoptosis in a cancer cell line (HeLa) exposed to vaccinia virus lacking an E31 gene (abstract). With respect to the limitation "reduced ability to inhibit PKR and increased sensitivity to interferon" in instant claim 8 the vaccinia virus taught in the prior art reads on the product recited in claim 8. See In re Best and In re Spada.

### Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

The factual inquiries set forth in *Graham* v. *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

- 1. Determining the scope and contents of the prior art.
- 2. Ascertaining the differences between the prior art and the claims at issue.
- 3. Resolving the level of ordinary skill in the pertinent art.
- 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later

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invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 1 and 2 are rejected under 35 U.S.C. 103(a) as being unpatentable over Roberts et al. (A2, cited on an IDS) taken with Coffey et al. (US 20020028195). Roberts et al. teach a method of treating neoplasm in a mammal using a vaccinia virus having a mutation in the E3L gene (pages 10 and 26). Roberts et al. teach the neoplasm can be breast or prostate (page 11). However, Roberts et al. does not specifically teach administering the virus to cancer cells that are ras-transformed cells.

However, at the time the invention was made, Coffey teaches administering modified vaccinia virus to ras-transformed cells (page 2). "Mutation or deletion of the genes responsible for antagonizing PKR should prevent viral replication in cells in which the PKR activity is normal (i.e. normal cells) (page 2)." "However, if infected cells are unable to activate the antiviral response mediated through PKR (i.e., Ras-mediated tumor cells), then these mutant viruses should replicate unheeded and cause cell death (page 2)." "Therefore, these mutant viruses can replicate preferentially in Ras-transformed cells where it is determined that PKR is unable to function (page 2)."

It would have been prima facie obvious to a person of ordinary skill in the art at the time the invention was made to combine the teaching of Roberts et al. taken with Coffey, namely to administer modified vaccinia virus to ras-transformed cells. One of ordinary skill in the art would have been motivated to combine the teaching since viruses can replicate preferentially in ras-transformed cells where PKR is unable to function.

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In view of Roberts and Coffey, one of ordinary skill in the art would have had a reasonable expectation of success for administering the virus to Ras transformed cells.

Therefore the invention as a whole would have been *prima facie* obvious to one ordinary skill in the art at the time the invention was made.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Brian Whiteman whose telephone number is (571) 272-0764.

The examiner can normally be reached on Monday through Friday from 6:30 to 4:00 (Eastern Standard Time), with alternating Fridays off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, James Douglas Schultz, SPE – Art Unit 1635, can be reached at (571) 272-0763.

Papers related to this application may be submitted to Group 1600 by facsimile transmission. Papers should be faxed to Group 1600 via the PTO Fax Center. The faxing of such papers must conform with the notice published in the Official Gazette, 1096 OG 30 (November 15, 1989). The Fax Center number is (571) 273-8300.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to (571) 272-0547.

Patent applicants with problems or questions regarding electronic images that can be viewed in the Patent Application Information Retrieval system (PAIR) can now contact the USPTO's Patent Electronic Business Center (Patent EBC) for assistance. Representatives are available to answer your questions daily from 6 am to midnight (EST). The toll free number is (866) 217-9197. When calling please have your application serial or patent number, the type of document you are having an image problem with, the number of pages and the specific nature of the problem. The Patent Electronic Business Center will notify applicants of the resolution of the problem within 5-7 business days. Applicants can also check PAIR to confirm that the problem has been corrected. The USPTO's Patent Electronic Business Center is a complete service center supporting all patent business on the Internet. The USPTO's PAIR system provides Internet-based access to patent application status and history information. It also enables applicants to view the scanned images of their own application file folder(s) as well as general patent information available to the public.

For all other customer support, please call the USPTO Call Center (UCC) at 800-786-9199.

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/Brian Whiteman/ Primary Examiner, Art Unit 1635 Page 9

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*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	A	US-2002/0028195	03-2002	Coffey et al.	424/93.21
	В	US-			
	С	us-			
	D	US-			•
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#### FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
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#### **NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	υ	Lee et al. Virology 199, 491-496, 1994
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	w	
	x	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US04/22165

•••	SIFICATION OF SUBJECT MATTER					
IPC(7)	: A01N 63/00; A61K 48/00					
US CL	: 424/93.2 International Patent Classification (IPC) or to both nati	onal c	lassification and IPC			
	OS SEARCHED -	Cint C	addition that is to			
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	cumentation searched (classification system followed by	/ class	ification symbols)			
U.S. : 42	A193.2			1		
Documentation	on searched other than minimum documentation to the	extent	that such documents are included in	the fields searched		
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	ta base consulted during the international search (name	or da	a base and, where practicable, scar	ch terms used)		
Please See Co	ontinuation Sheet			Į		
C. DOCT	JMENTS CONSIDERED TO BE RELEVANT					
Category *	Citation of document, with indication, where ap			Relevant to claim No.		
X	LEE et al. The interferon-induced double stranded R	NA-ac	tivated protein kinase induces	1, 4-6, 8, 11-15		
	apoptosis Virology 1994, Vol 199, pages 491-496.		4.40.0000	1 40 11 16		
X	US 2002/0155529 A1 (JACOBS et al) 24 October 20	02, (2	4.10.2002), see whole document,	1, 4-8, 11-16		
77	especially pages 2-3. US 2003/00444384 A1 (ROBERTS et al) 06 March 2	0003 (	16 03 2003) see whole	1, 3-16		
X	document, especially pages 11 and 26-28.	,000	0.03.2003), see whole	1,510		
	document, especially pages 11 and 20-20.			İ		
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French	r documents are listed in the continuation of Box C.		See patent family annex.			
		"T"	later document published after the inte	emotional filing date or priority		
• s	Special categories of cited documents:	•	date and not in conflict with the appli-	cation but cited to understand the		
	t defining the general state of the art which is not considered to be		principle or theory underlying the inv	ention		
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"B" carlier ap	oplication or patent published on or after the international filing date		considered novel or cannot be considered when the document is taken alone	ered to involve an inventive step		
"L" documen	t which may throw doubts on priority claim(s) or which is cited to					
establish	the publication date of another citation or other special reason (as	-Y-	document of particular relevance; the considered to involve an inventive ste			
specified	)		combined with one or more other suc	h documents, such combination		
"O" documen	nt referring to an oral disclosure, use, exhibition or other means		being obvious to a person skilled in the	he art		
"P" documen						
priority date claimed						
Date of the a	Date of the actual completion of the international search  Date of mailing of the international search report					
09 December 2004 (09.12.2004)			La JAN	2005/1		
Name and mailing address of the ISA/US		Aut	norized officer	1/0/1/1		
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	ommissioner for Patents	1	_ // 5000	7		
	P.O. Box 1450 Alexandria, Virginia 22313-1450  Telephone No. (703) \$608-0196					
	o. (703) 305-3230	<u> </u>				
Form PCT/ISA/210 (second sheet) (January 2004)						

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search terms: vaccinia virus, mutant, oncolytic, E3L, K3L, PKR, inactivation	ı, cancer, tumor, neoplasm		
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Date 24.07.06

Reference AM 5279-01EP

Application No./Patent No. 04777944.2 - 2103 PCT/US2004022165

Applicant/Proprietor

ARIZONA BOARD OF REGENTS

#### COMMUNICATION

The European Patent Office herewith transmits as an enclosure the supplementary European search report under Article 157(2)(a) EPC for the above-mentioned European patent application.

If applicable, copies of the documents cited in the European search report are attached.

Additional set(s) of copies of the documents cited in the European search report is (are) enclosed as well.

#### Refund of the search fee

If applicable under Article 10 Rules relating to fees, a separate communication from the Receiving Section on the refund of the search fee will be sent later.





# SUPPLEMENTARY PARTIAL EUROPEAN SEARCH REPORT

**Application Number** 

which under Rule 45 of the European Patent Convention EP 04 77 7944 shall be considered, for the purposes of subsequent proceedings, as the European search report

!	DOCUMENTS CONSIDE	RED TO BE RELEVANT	·	
Category	Citation of document with ind of relevant passage		Relevant to claim	CLASSIFICATION OF THE APPLICATION (IPC)
Х	25 May 2001 (2001-05 * page 7, line 3 - 1 * page 13, line 4 - * page 8, line 14 - * page 24, line 28 -	THOMPSON, BRADLEY, G) i-25) ine 13 * page 14, line 2 * line 22 * page 27, line 23 *	1-16	INV. A01N63/00 A61K48/00
X	WO 00/62735 A (PRO-NOTE NOTE NOTE NOTE NOTE NOTE NOTE NOTE	, ROBERT, M; GROENE, 000 (2000-10-26) line 18 * line 22 *	1-16	
				TECHNICAL FIELDS SEARCHED (IPC)
				A01N A61K
The st	i upplementary search report has be nd available at the start of the searc	en based on the last set of claims valid th.		
The Sear not comp be carried Claims se	MPLETE SEARCH  The Division considers that the present a sly with the EPC to such an extent that a dout, or can only be carried out partially earched completely:  The searched incompletely:	pplication, or some or all of its claims, does/ meaningful search into the state of the art or , for the following claims:	annot .	
Claims n	ot searched :		(	
''	for the limitation of the search: Sheet C			
	Place of search	Date of completion of the search		Examiner
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### PARTIAL EUROPEAN SEARCH REPORT

Application Number EP 04 77 7944

1	DOCUMENTS CONSIDERED TO BE RELEVANT		APPLICATION (IPC)
ategory	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	
4	SHORS T ET AL: "Complementation of Vaccinia Virus Deleted of the E3L Gene by Mutants of E3L" VIROLOGY, ACADEMIC PRESS, ORLANDO, US, vol. 239, no. 2, 22 December 1997 (1997-12-22), pages 269-276, XP004452367 ISSN: 0042-6822	1	
	* the whole document *		
Ρ,Χ	WO 2004/003562 A (ONCOLYTICS BIOTECH, INC; THOMPSON, BRADLEY, G; COFFEY, MATTHEW, C) 8 January 2004 (2004-01-08)	1	
	* the whole document *		TECHNICAL FIELDS
			SEARCHED (IPC)
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### **INCOMPLETE SEARCH** SHEET C

**Application Number** EP 04 77 7944

Although claims 1-10 are directed to a method of treatment of the human/animal body (Article 52(4) EPC), the search has been carried out and based on the alleged effects of the compound/composition.

# ANNEX TO THE EUROPEAN SEARCH REPORT ON EUROPEAN PATENT APPLICATION NO.

EP 04 77 7944

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report. The members are as contained in the European Patent Office EDP file on The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

04-07-2006

Patent document cited in search report	Publication date		Patent family member(s)		Publication date
WO 0135970	25-05-2001	AU AU BR CA EP JP MX NZ ZA	782020 1262601 0015491 2388807 1227828 2003514024 PA02004736 518454 200203041	B2 A A A1 A1 T A A	30-06-2005 30-05-2001 15-10-2002 25-05-2001 07-08-2002 15-04-2003 28-01-2003 30-07-2004 09-12-2003
WO 0062735	26-10-2000	AU CA CN EP HU JP MX	4246900 2370187 1477964 1390046 0302278 2003530301 PA01010393	A A1 A A2 A2 T A	02-11-2000 26-10-2000 25-02-2004 25-02-2004 28-10-2003 14-10-2003 02-04-2004
WO 2004003562	A 08-01-2004	AU BR CA CN EP JP MX	2003245760 0311983 2487824 1666105 1520175 2005531306 PA04012414	A A1 A A2 T	19-01-2004 26-04-2005 08-01-2004 07-09-2005 06-04-2005 20-10-2005 19-04-2005



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D-80298 München

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Office européen des brevets

Generaldirektion 2

Directorate General 2

Direction Générale 2

Eisenführ, Speiser & Partner Patentanwälte Rechtsanwälte, Postfach 31 02 60 80102 München ALLEMAGNE Telephone numbers:

Primary Examiner (substantive examination)

+49 89 2399-7027

Formalities Officer / Assistant (Formalities and other matters)

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Application No. 04 777 944.2 - 2401

AM 5279-01EP

Date

16.02.2007

Applicant

ARIZONA BOARD OF REGENTS

#### Communication pursuant to Article 96(2) EPC

The examination of the above-identified application has revealed that it does not meet the requirements of the European Patent Convention for the reasons enclosed herewith. If the deficiencies indicated are not rectified the application may be refused pursuant to Article 97(1) EPC.

You are invited to file your observations and insofar as the deficiencies are such as to be rectifiable, to correct the indicated deficiencies within a period

#### of 4 months

from the notification of this communication, this period being computed in accordance with Rules 78(2) and 83(2) and (4) EPC.

One set of amendments to the description, claims and drawings is to be filed within the said period on separate sheets (Rule 36(1) EPC).

Failure to comply with this invitation in due time will result in the application being deemed to be withdrawn (ArtIcle 96(3) EPC).



Mauhin, Viviane Primary Examiner for the Examining Division

Enclosure(s):

3 page/s reasons (Form 2906)



Bescheid/Protokoll (Anlage)

Communication/Minutes (Annex)

Notification/Procès-verbal (Annexe)

Datum Date

16.02.2007

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1

Anmelde-Nr.:

Demande nº:

Application No.: 04 777 944.2

The examination is being carried out on the following application documents:

#### **Description, Pages**

1-12

as published

#### Claims, Numbers

1-16

as published

#### **Drawings, Sheets**

1/19-19/19

as published

Reference is made to the following document/s; the numbering will be adhered to in the rest of the procedure:

- D1: WO 01/35970 A (ONCOLYTICS BIOTECH INC; COFFEY, MATTHEW, C; THOMPSON, BRADLEY, G) 25 May 2001 (2001-05-25)
- D2: WO 00/62735 A (PRO-VIRUS, INC; ROBERTS, MICHAEL, S; LORENCE, ROBERT, M; GROENE, WILLI) 26 October 2000 (2000-10-26)
- D3: SHORS T ET AL: "Complementation of Vaccinia Virus Deleted of the E3L Gene by Mutants of E3L" VIROLOGY, ACADEMIC PRESS, ORLANDO, US, vol. 239, no. 2, 22 December 1997 (1997-12-22), pages 269-276, XP004452367 ISSN: 0042-6822
- D4: LEE ET AL.: 'The interferon-induced double stranded RNA-activated protein kinase induces apoptosis' VIROLOGY vol. 199, 1994, pages 491 - 496, XP000615910



Bescheid/Protokoll (Anlage)

Communication/Minutes (Annex)

Notification/Procès-verbal (Annexe)

Datum Date Date

m 16.02.2007

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2

Anmelde-Nr.: Application No.: Demande n°:

Application No.: 04 777 944.2

- 1. The present application relates to a method of inducing lysis of proliferative cancer cells by contacting said cells with a vaccinia virus (VV) having an inactivating mutation in an interferon resistance gene, preferably E3L and/or K3L, preferably the whole deletion of E3L. Preferably, the cancer cells are ras-transformed cells, breast cancer cells or prostate cancer cells. The present application also relates to a therapeutic composition comprising said vaccinia virus having an inactivating mutation in an interferon resistance gene.
- 2. Claims 1-10 are directed to methods of treatment. The subject-matter of these claims is not regarded to be susceptible of industrial application. Hence, claims 1-10 should be reformulated (see Article 52(4) and 54(5) EPC and the Guidelines C-IV, 4.2).
- 3. Notwithstanding the objection in §2 above, it appears that claims 1-16 are not novel over D1 and D2 (Art. 54 EPC).
- 3.1 D1 discloses a method for treating ras-mediated cell proliferative disorder in a mammal, comprising administering to proliferative cells an effective amount of one or more viruses of the group consisting of modified adenovirus, modified HSV, modified vaccinia virus etc, while it results in substantial lysis of the proliferating cells (page 7, lines 3-8). For vaccinia virus, the modified vaccinia virus comprises a mutant gene selected from E3L and K3L (page 7, lines 12-13; page 13, line 4 page 14, line 2). Pharmaceutical compositions comprising said modified vaccinia virus with a pharmaceutically acceptable carrier are also disclosed (page 8, lines 14-22; page 24, line 28 page 27, line 23).
- 3.2 D2 discloses a method of treating a neoplasm including cancer in a mammal administering to the mammal a therapeutical amount of an interferon-sensitive, replication-competent vaccinia virus having one or more mutations in one or more viral genes involved with blocking interferon's antiviral activity selected from the group of genes consisting of K3L, E3L and B18R (see page 7, lines 14-18; page 22, lines 4-22; Tables 1 and 2; page 40, line 21 page 41, line 2).
- 4. Should the Applicant be able to demonstrate novelty of some or all of the claims, inventive step has to be discussed in view of D1 (or D2) as closest prior art. Indeed,



Bescheid/Protokoll (Anlage)

Communication/Minutes (Annex)

Notification/Procès-verbal (Annexe)

Datum Date Date

16.02.2007

Blatt Sheet Feuille

3

Anmelde-Nr.: Demande nº:

Application No.: 04 777 944.2

there is a strong hint in D1 (and D2) to use a vaccinia virus wherein the E3L and/or K3L gene is not transcribed (see page 13, lines 27-28). The expectation of success is reasonable (in particular in view of D3 or D4) so that there is no surprising effect.

It is not at present apparent which part of the application could serve as a basis for a 5. new, allowable claim. Should the applicant nevertheless regard some particular matter as patentable, an independent claim should be filed taking account of Rule 29(1) EPC. The applicant should also indicate the difference of the subject-matter of the new claim vis-à-vis the state of the art and the significance thereof.

# **HOEFER & PARTNER**



HOFFER & PARTNER Pilgersheimer Straße 20 · D-81543 München/Germany

EPO - Munich

Europäisches Patentamt

Aug. 2007

80298 München

RECHTSANWÄLTE

Ingo Görz, Dipl.-Ing.

**PATENTANWÄLTE** 

**EUROPEAN PATENT ATTORNEYS EUROPEAN TRADEMARK ATTORNEYS EUROPEAN DESIGN ATTORNEYS** Theodor Hoefer - 1992, Dipl.-Ing. Dr. Horst Schmidt, Dr.-Ing. Dipl.-Ing.

Dr. Joachim Weber, Dr.-Ing. Dipl.-Ing.

Gabriele Leißler-Gerstl, Lbm.-Chem.

Thomas J. Hager, Dipl.-Ing. (FH)

Steffen Schaeberle, Dipl.-Ing.

Fachanwälte für gewerblichen Rechtsschutz. Dr. Ulrich Hocke, Dr. jur. Dipl.-Kfm.

Hans-Werner Schmitz, Dipl.-Ing. Dipl.-Wirtsch.-Ing.

Bettina Görz Oliver Spies

in Kooperation mit **PATENTANWÄLTE DURM & PARTNER Karlsruhe** 

Datum/date

23.08.2007

Ihr Zeichen/your ref

Unser Zeichen/our ref

AM 5279-01EP

Applicant:

Arizona Board of Regents

Serial Number: 04 777 944.2-2103

This is in response to the communication pursuant to Article 96 (2) EPC of 16 February 2007.

Please find enclosed a new set of claims substituting the claims as presently on file. The claims have been amended to overcome the objections raised in the office action. First of all the claims have been reworded a second medical use claims. Moreover, the claims are now related to the use of vaccinia virus mutants having a truncated E3L gene that replicates in breast cancer cells but not in normal breast cells for preparing a medicament for inducing lysis of proliferating cancer cells.

The disclosure for a new claim 1 is found on page 4, lines 6 to 11, and Fig. 1 of the description.

It has been found by the inventors of the present application that a vaccinia virus having a truncation and particularly a truncation of less than 83 amino acids from the amino terminus and being able to replication in human breast cancer cells but not in normal breast cells has a selective oncolytic activity for cancer cells. It has been found that vaccinia virus is highly resistant to treatment of cells with interferon. The inventors found that vaccinia virus having E3L completely deleted has a high ras dependency but cannot inhibit PKR. On the other hand a vaccinia mutant  $\Delta 83N$  is toxic and induces morbidity. However, if a vaccinia virus mutant is used having a truncation, particularly a truncation at the amino terminus of E3L which is less than 83 amino acids, and which can replicate in human breast cancer cells but not in normal breast cells, this vaccinia virus can be used to induce lysis of proliferating cancer cells. As can be seen from Fig. 1, mutants having a truncation at the amino terminus or the

carboxy terminus but having a truncation of less than 83 amino acids on the amino terminus have the optimal combination of PKR inhibition and high ras dependency.

The mutants as now claimed, wherein the E3L gene is truncated, are not transcribed and do not replicate in breast cells, which is critical for the oncolytic activity. The description provides a test to access if a mutant selectively replicates in breast cancer cells but not normal breast cells. This test is outlined on page 9, lines 16 to 22. Viral replication is measured by determining how many infectious virus particles are present after 72 hours of culturing. Thus, those vaccinia virus mutants that are useful for the present invention can be find by the skilled artisan using routine experiments.

The documents cited by the Examiner neither anticipate nor render obvious the subject matter of the present invention. WO 01/35970 (D1) teaches viruses for the treatment of cellular proliferative disorders and uses modified viruses, inter alia modified vaccinia virus having a mutant gene selected from the group consisting of E3L and K3L. There is no indication as to the mutation but only the hint to delete or disrupt the E3L gene. WO 00/62735 (D2) is even more general and teaches that a vaccinia virus having one or more mutations in one or more viral genes selected from K3L, E3L and B18R can be used to treat neoplasm. However, also D2 does not give any guidance to the site or type of mutation that could result in a vaccinia virus having selective oncolytic activity. The inventors surprisingly found that the full deletion of E3L results in the failure of the vaccinia virus to replicate in normal or cancerous breast cells and that treatment of breast cancer xenografts with E3LΔ83N mutant vaccinia virus results in toxicity and morbidity. If however vaccinia virus has a truncation of less than 83 amino acids and replicates in human breast cancer cells but not normal breast cells it induces selectively and effectively oncolytic regression of breast cancer xenografts.

Thus, the subject matter of the claims as now valid is novel and inventive with regard to D1 and D2.

It is assumed that the Examiner can now accept patentability of the present application. If there are further deficiencies a telephone call or the appointment of a personal interview would we be highly appreciated. Otherwise it is requested to summon to oral proceedings in accordance to Article 116 EPC.

Gabriele Leißler-Gerstl European Patent Attorney

Encl.

New Set of Claims

#### PATENT COOPERATION TREATY

#### From the INTERNATIONAL BUREAU

NOTIFICATION CONCERNING TRANSMITTAL OF COPY OF INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (CHAPTER I OF THE PATENT COOPERATION TREATY)

(PCT Rule 44bis.1(c))

To:

BUTTER, Gary, M Baker Botts LLP 30 Rockefeller Plaza

BAKER BOTTS LLP **RECEIVED** 

New York, NY 10112-4498

NY 10112

ETATS-UNIS D'AMERIQUE FEB 0 6 2006

Date of mailing (day/month/year) 19 January 2006 (19.01.2006)

Applicant's or agent's file reference -172448,0374 35892-PCT

**IMPORTANT NOTICE** 

International application No. PCT/US2004/022165

International filing date (day/month/year) 08 July 2004 (08.07.2004)

Priority date (day/month/year) 08 July 2003 (08.07.2003)

Applicant

ARIZONA BOARD OF REGENTS et al

The International Bureau transmits herewith a copy of the international preliminary report on patentability (Chapter 1 of the Patent Cooperation

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer

Dorothée Mülhausen

Facsimile No.+41 22 740 14 35

Facsimile No.+41 22 338 87 40

# PATENT COOPERATION TREATY

# **PCT**

# INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Chapter I of the Patent Cooperation Treaty)

(PCT Rule 44bis)

Applicant's or agent's file reference	FOR FURTHER ACTION	See item 4 below			
35892-PCT	•	<u> </u>			
International application No. PCT/US2004/022165	International filing date (day/month/year) 08 July 2004 (08.07.2004)	Priority date (day/month/year) 08 July 2003 (08.07.2003)			
International Patent Classification (8th See relevant information in Form F	h edition unless older edition indicated) PCT/ISA/237				
Applicant ARIZONA BOARD OF REGENTS					

1.	This international preliminary r International Searching Author	report on patentability (Chapter I) is issued by the International Bureau on behalf of the ity under Rule 44 bis.1(a).
2.	This REPORT consists of a total	al of 4 sheets, including this cover sheet.
	In the attached sheets, any refer to the international preliminary	rence to the written opinion of the International Searching Authority should be read as a reference report on patentability (Chapter I) instead.
3.	This report contains indications	relating to the following items:
:	Box No. I	Basis of the report
	Box No. II	Priority
	Box No. III	Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
	Box No. IV	Lack of unity of invention
	Box No. V	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
	Box No. VI	Certain documents cited
	Box No. VII	Certain defects in the international application
	Box No. VIII	Certain observations on the international application
4.	The International Bureau will c not, except where the applicant date (Rule 44bis .2).	communicate this report to designated Offices in accordance with Rules 44bis.3(c) and 93bis.1 but makes an express request under Article 23(2), before the expiration of 30 months from the priority

	Date of issuance of this report 09 January 2006 (09.01.2006)
The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer  Dorothée Mülhausen
Facsimile No. +41 22 740 14 35	Telephone No. +41 22 338 87 40

Form PCT/IB/373 (January 2004)

# PATENT COOPERATION TREATY

REC'D 17 JAN 2005 From the INTERNATIONAL SEARCHING AUTHORITY POT To: GARY M. BUTTER BAKER BOTTS L.L.P. WRITTEN OPINION OF THE 30 ROCKEFELLER PLAZA INTERNATIONAL SEARCHING AUTHORITY NEW YORK, NY 10112-4498 (PCT Rule 43bis.1) 13 JAN 2005 Date of mailing (day/month/year) FOR FURTHER ACTION Applicant's or agent's file reference See paragraph 2 below Priority date (day/month/year) 35892-PCT International filing date (day/month/year) International application No. 08 July 2003 (08.07.2003) 08 July 2004 (08.07.2004) PCT/US04/22165 International Patent Classification (IPC) or both national classification and IPC IPC(7): A01N 63/00; A61K 48/00 and US Cl.: 424/93.2 Applicant ARIZONA BOARD OF REGENTS 1. This opinion contains indications relating to the following items: Basis of the opinion Box No. I Priority Box No. II Non-establishment of opinion with regard to novelty, inventive step and industrial applicability Box No. III Lack of unity of invention Box No. IV Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement Box No. V Certain documents cited Box No. VI Certain defects in the international application Box No. VII Certain observations on the international application Box No. VIII If a demand for international preliminary examination is made, this opinion will be considered to be a written opinion of the 2. FURTHER ACTION International Preliminary Examining Authority ("IPEA") except that this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notified the International Bureau under Rule 66.1bls(b) that written opinions of this International Searching Authority will not be so considered. If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of 3 months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later. For further options, see Form PCT/ISA/220. 3. For further details, see notes to Form PCT/ISA/220. Authorized officer Name and mailing address of the ISA/ US Mail Stop PCT, Attn: ISA/US Brian Whiteman Commissioner for Patents Telephone No. (703/308-0196 P.O. Box 1450 Alexandria, Virginia 22313-1450 Pacsimile No. (703) 305-3230 Form PCT/ISA/237 (cover sheet) (January 2004)

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No.

PCT/US04/22165

Box No. I Basis of this opinion
1. With regard to the language, this opinion has been established on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.
This opinion has been established on the basis of a translation from the original language into the following language which is the language of a translation furnished for the purposes of international search (under Rules 12.3 and 23.1(b)).
2. With regard to any nucleotide and/or amino acid sequence disclosed in the international application and necessary to the claimed invention, this opinion has been established on the basis of:
a. type of material
a sequence listing
table(s) related to the sequence listing
b. format of material
in written format
in computer readable form
in computer resource form
c. time of filing/furnishing
contained in international application as filed.
filed together with the international application in computer readable form.
furnished subsequently to this Authority for the purposes of search.
In addition, in the case that more than one version or copy of a sequence listing and/or table relating thereto has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.
4. Additional comments:
· ·

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/US04/22165

Box No. V Reasoned statement under Rule 43 applicability; citations and explanati		) with regard to novelty, inventive step or industrial orting such statement	
1. Statement	_		
Novelty (N)	Claims	2 YF	æ
	Claims	1, 3-16 NO	)
Inventive step (IS)	Claims	2 YF	3S
		1, 3-16 NO	)
Industrial applicability (IA)	Claims	1-16YF	ES
•	Claims	NONE NO	)
2. Citations and explanations:			
treating a tumor comprising contacting the tumor with a from the group consisting of K3L, E3L and B18R. RO	n interfero BERTS te:	anticipated by ROBERTS et al. ROBERTS teaches a method of sensitive vaccinia virus having one or more mutations selected aches that the tumor can be a prostate or breast tumor. ROBER a virus can be administered intravenously to the tumor in the	di .
Claims 1 and 3-16 lack an inventive step under PCT Ar method of treating a tumor comprising contacting the tu	ticle 33(3) mor with	as being obvious over ROBERTS et al. ROBERTS teaches a an interferon sensitive vaccinia virus having one or more mutation.	ons

Claim 2 meets the criteria set out in PCT Article 33(2)-(3), because the prior art does not teach or fairly suggest treating cancer cells that are ras-transformed with a vaccinia virus having an inactivation mutation in an interferon resistance gene.

selected from the group consisting of K3L, E3L and B18R. ROBERTS teaches that the tumor can be a prostate or breast tumor. ROBERTS further teaches that the tumor can be in a mammal and the vaccinia virus can be administered intravenously to the tumor

Claims 1-16 meet the criteria set out in PCT Article 33(4), and thus meet industrial applicability because the subject matter claimed can be made or used in industry for inducing lysis of proliferating cancer cells.

in the mammal.

## INTERNATIONAL SEARCH REPORT

Form PCT/ISA/210 (second sheet) (January 2004)

International application No.

PCT/US04/22165

	•			
IPC(7) US CL	SIFICATION OF SUBJECT MATTER : A01N 63/00; A61K 48/00 : 424/93.2			
	International Patent Classification (IPC) or to both nat	ional classification and IPC		
	OS SEARCHED	- lesi Carrier combale)		
Minimum documentation searched (classification system followed by classification symbols)  U.S.: 424/93.2				
Documentation	on searched other than minimum documentation to the	extent that such documents are included in	the fields searched	
	ta base consulted during the international search (name ontinuation Sheet	of data base and, where practicable, sear	ch terms used)	
C. DOCI	UMENTS CONSIDERED TO BE RELEVANT			
Category *	Citation of document, with indication, where ap	propriate, of the relevant passages	Relevant to claim No.	
Х	LEE et al. The interferon-induced double stranded R apoptosis Virology 1994, Vol 199, pages 491-496.	NA-activated protein kinase induces	1, 4-6, 8, 11-15	
x	US 2002/0155529 A1 (JACOBS et al) 24 October 20	02, (24.10.2002), see whole document,	1, 4-8, 11-16	
x	especially pages 2-3. US 2003/00444384 A1 (ROBERTS et al) 06 March 2 document, especially pages 11 and 26-28.	2003 (06.03.2003), see whole	1, 3-16	
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	CD C	See patent family annex.		
	r documents are listed in the continuation of Box C.	"T" later document published after the Inte	mational filing date or priority	
	Special categories of cited documents:	date and not in conflict with the applic	ation but cited to understand the	
"A" document of particu	at defining the general state of the art which is not considered to be ular relevance			
"E" earlier a	pplication or patent published on or after the international filing date	"X" document of particular relevance; the considered novel or cannot be conside when the document is taken alone	red to involve an inventive step	
"L" document establish specified	at which may throw doubts on priority claim(s) or which is cited to the publication date of another citation or other special reason (as	"Y" document of particular relevance; the considered to involve an inventive ste	p when the document is	
"O" documen	nt referring to an oral disclosure, use, exhibition or other means	combined with one or more other such being obvious to a person skilled in th		
	nt published prior to the international filing date but later than the date claimed	"&" document member of the same patent		
Date of the a	actual completion of the international search	Date of mailing of the international sear	_	
09 Decembe	r 2004 (09.12.2004)		2005/	
Name and m	nailing address of the ISA/US	Authorized officer	al pM.	
	ail Stop PCT, Attn: ISA/US ommissioner for Patents	Brian Whiteman	www.sc	
P.6	O. Box 1450	Telephone No. (703) 808-0196	//	
	exandria, Virginia 22313-1450 o. (703) 305-3230		//	



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Europäisches Patentamt

European Patent Office Office européen des brevets

Wibbelmann, Jobst Wuesthoff & Wuesthoff, Patent- und Rechtsanwälte, Schweigerstrasse 2



**EPO Customer Services** 

Tel.: +31 (0)70 340 45 00

Date 29.12.06

Reference EPA-94 857 Application No./Patent No. 03765541.2 - 1223 PCT/US0321764

Applicant/Proprietor ARIZONA BOARD OF REGENTS

#### COMMUNICATION

The European Patent Office herewith transmits as an enclosure the supplementary European search report under Article 157(2)(a) EPC for the above-mentioned European patent application.

If applicable, copies of the documents cited in the European search report are attached.

Additional set(s) of copies of the documents cited in the European search report is (are) enclosed as well.

#### Refund of the search fee

If applicable under Article 10 Rules relating to fees, a separate communication from the Receiving Section on the refund of the search fee will be sent later.





# SUPPLEMENTARY EUROPEAN SEARCH REPORT

Application Number EP 03 76 5541

Category	Citation of document with it	ndication, where appropriate,	Relevant	CLASSIFICATION OF THE
- mogory	of relevant pass	ages	to claim	APPLICATION (IPC)
A	induction and actio	"Blockade of interferon on by the E3L binding proteins of		INV. C07K14/065 C07K14/07
	vaccinia virus" JOURNAL OF VIROLOGY	,		C12N15/863
}	vol. 76, no. 10, Ma 5251-5259, XP002416 ISSN: 0022-538X	y 2002 (2002-05), pages 981		
	* the whole documer			
A		system by preventing		
		viral enzymes and IRF3 DURNAL OF INTERFERON AND		
	SOCIETY FOR INTERFERESEARCH; CLEVELAND			
	07-11, 2001 ISSN: 1079-9907 * abstract *			TECHNICAL FIELDS SEARCHED (IPC)
ļ	35501 466			C07K
A		INS OF THE VACCINIA SISTANCE GENE, E3L, ARE		C12N
	JOURNAL OF VIROLOGY FOR MICROBIOLOGY, U	, THE AMERICAN SOCIETY S, wary 2001 (2001-01),		
	pages 850-856, XP00 ISSN: 0022-538X * the whole documen	2951113		,
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	The supplementary search reports to daims valid and available			. •
	Place of search	Date of completion of the search		Examiner
	The Hague	11 December 2006	Sch	ulz, Regine
CA	TEGORY OF CITED DOCUMENTS	T : theory or principle E : earlier patent docu		
Y : parti	cularly relevant if taken alone cularly relevant if combined with anot ment of the same category	after the filing date ner D : document cited in L : document cited for	the application other reasons	with all the second sec
	nological background written disclosure	& : member of the san		, corresponding

1



### SUPPLEMENTARY **EUROPEAN SEARCH REPORT**

**Application Number** EP 03 76 5541

Category	Citation of document with in of relevant passa	dication, where appropriate,	Rele to cla		CLASSIFICATION OF THE APPLICATION (IPC)
P,X	VIJAYSRI S ET AL: homologue is able t of the vaccinia vir but not in vivo" VIROLOGY, ACADEMIC vol. 314, no. 1, 15 September 2003 ( 305-314, XP00445794 ISSN: 0042-6822 * the whole documen	o complement deletion us E3L gene in vitro PRESS,ORLANDO, US, 2003-09-15), pages	1-11		
Т	LANGLAND ET AL: "I and DNA viruses" VIRUS RESEARCH, AMS vol. 119, no. 1, Ju pages 100-110, XP00 ISSN: 0168-1702 * the whole documen	ly 2006 (2006-07), 5455777	A		
					TECHNICAL FIELDS SEARCHED (IPC)
!					
	The supplementary search reportset of claims valid and available	t has been based on the last at the start of the search.			
	Place of search	Date of completion of the search			Examiner
	The Hague	11 December 200	6	Sch	ulz, Regine
X : part Y : part doc: A : teol O : nor	ATEGORY OF CITED DOCUMENTS ticularly relevant if taken alone ticularly relevant if combined with another unrent of the same category hnological background newritten disclosure primediate document	T : theory or princip E : earlier patent d after the filling d D : document cited L : document cited & : member of the document	ooument, b ate I in the app for other r	ut publis lication easons	shed on, or

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US03/21764

A. CLASSIFICATION OF SUBJECT MATTER  IPC(7) : A61K 39/285; C12N 15/863  US CL : 424/232.1, 199.1; 435/320.1, 69.1, 235.1  According to International Patent Classification (IPC) or to both nat  B. FIELDS SEARCHED  Minimum documentation searched (classification system followed by U.S.: 424/232.1, 199.1; 435/320.1, 69.1, 235.1  Documentation searched other than minimum documentation to the of the company of the	y classification symbols)  extent that such documents are included in		
T	percent of the relevant percent	Relevant to claim No.	
Category * Citation of document, with indication, where ap X US 6,372,455 B1 (JACOBS et al) 16 April 2002, see		1-11	
X US 6,372,433 BI (JACOBS et al) 10 April 2002, see	emire document.	1-44	
X WO99/55910 A1 (ARIZONA BOARD OF REGENT 27 - page 5 line 17, claims 8, 14, 20.	rs) 04 November 1999, see page 3 line	2, 4, 5	
Y WO 92/12240 A1 (VIROGENETICS CORPORATION 10.	ON) 23 July 1992, see claims 1, 2, 9,	2-9	
Y BEATTIE et al. Reversal of the interferon-sensitiv	BEATTIE et al. Reversal of the interferon-sensitive phenotype of a vaccinia virus  lacking E3L by expression of the recovirus S4 gene. Journal of virology. Jan 1995, vol. 69		
A ROSENTHAL et al. Developing new smallpox vacci November-December 2001. Vol. 7, no. 6, pages 920 A M.E. In search of a kinder, gentler vaccine. Science	)-926.	1, 10, 11 1, 10, 11	
Further documents are listed in the continuation of Box C.	See patent family annex.		
Special categories of cited documents:  "A" document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the inte date and not in conflict with the applit principl'; or theory underlying the law	eation but cited to understand the ention	
"H" earlier application or patent published on or after the international filing date	"X" document of particular relevance; the considered novel or cannot be considered when the document is taken alone	claimed invention cannot be red to involve an inventive step	
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y"  document of particular relevance; the considered to involve an inventive ste combined with one or more other such being obvious to a person skilled in the	p when the document is h documents, such combination	
"O" document referring to an oral disclosure, use, exhibition or other means  "P" document published prior to the international filing date but later than the	"&" document member of the same patent		
priority date claimed	Date of mailing of the international sear	nh renart	
Date of the actual completion of the international search 12 November 2003 (12.11.2003)	14 APR 2004	ch report	
Name and mailing address of the ISA/US	Authorized officer	// /	
Mail Stop PCT, Attn: ISA/US Commissioner for Patents	Mary E. Mosher, Ph.D.	lofallen for	
P.O. Box 1450 Alexandria, Virginia 22313-1450	Telephone No. 703-308-0196		
Facsimile No. (703)305-3230 Form PCT/ISA/210 (second sheet) (July 1998)	1		

## INTERNATIONAL SEARCH REPORT

International application No.
PCT/US03/21764

Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)				
This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:				
Claim Nos.:  because they relate to subject matter not required to be searched by this Authority, namely:				
2. Claim Nos.: 12-14  because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:  Claims 12-14 require search of SEQ ID NO:1, and no sequence listing was provided.				
Claim Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a				
Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)				
This International Searching Authority found multiple inventions in this international application, as follows:				
<ol> <li>As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.</li> <li>As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.</li> <li>As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:</li> </ol>				
4. No required additional search fees were timely paid by the applicant. Consequently, this international search report restricted to the invention first mentioned in the claims; it is covered by claims Nos.:  Remark on Protest  The additional search fees were accompanied by the applicant's protest.  No protest accompanied the payment of additional search fees.				

INTERNATIONAL SEARCH REPORT	PCT/US03/21764
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Continuation of B. FIELDS SEARCHED Item 3:	
EAST USPAT, PGPUB, EPO, JPO, DERWENT; Medline. Search terms: E3L poxivr\$, paoletti, perkus, tartaglia, jacobs.	", vaccinia, delet?, vp1080, interteron sensitiv\$, pox,
f	